

The remarkable Tameness of String Effective Actions

Thomas W. Grimm

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Based on:

- 2112.06995 with Ben Bakker, Christian Schnell, Jacob Tsimerman
- 2112.08383 Tameness Conjecture
- 2206.00697 with Stefano Lanza, Chongchuo Li
- 220n.nnnn with Mike Douglas, Lorenz Schlechter

Introduction

Mathematics is wild

- **Analysis:** topologies and maps can be involved

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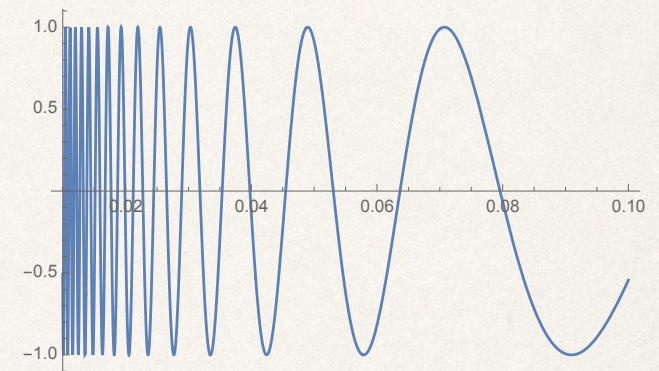
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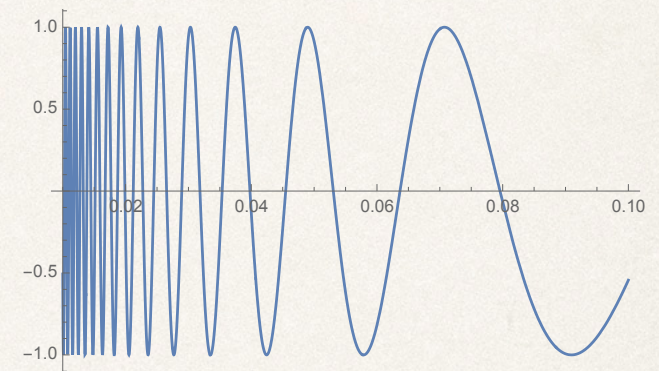
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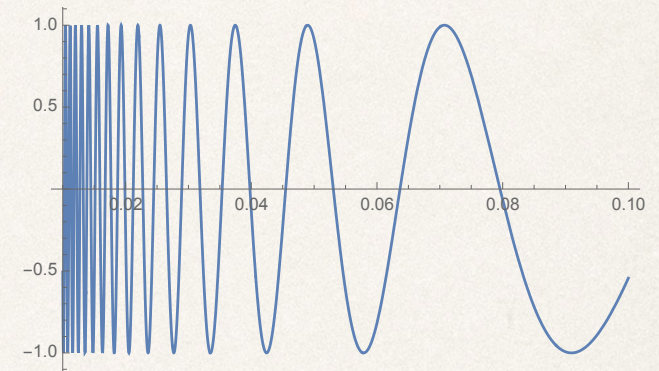
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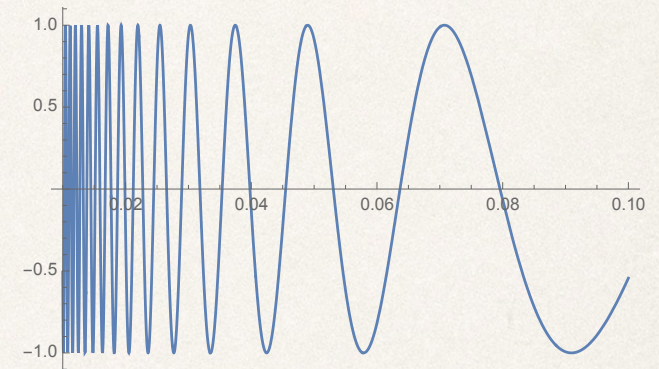
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Physics is more **tame**, isn't it?

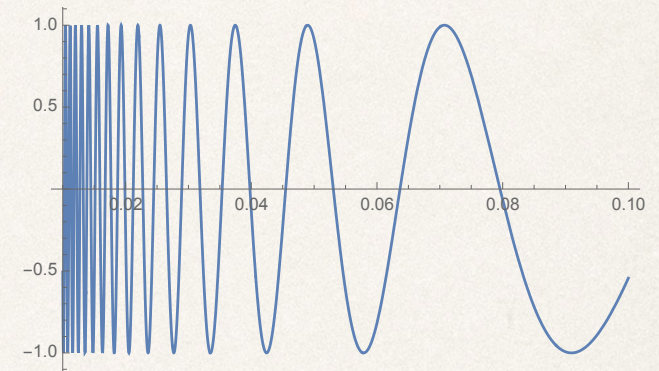
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What is a good Tameness Principle?

Finiteness as a tameness principle?

- Longstanding question: Is number of distinct effective theories from string theory below fixed cut-off finite? e.g. [Douglas '03] [Acharya,Douglas '06]

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Finiteness criterion seems to be a yes / no-criterion:
Can we turn finiteness into a structural criterion?

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Tameness principle: demand that theories are formulated within 'Tame geometry' or 'o-minimal geometry'

(needed in the proof of [Bakker,TG,Schnell,Tsimerman])

Rough tameness statements

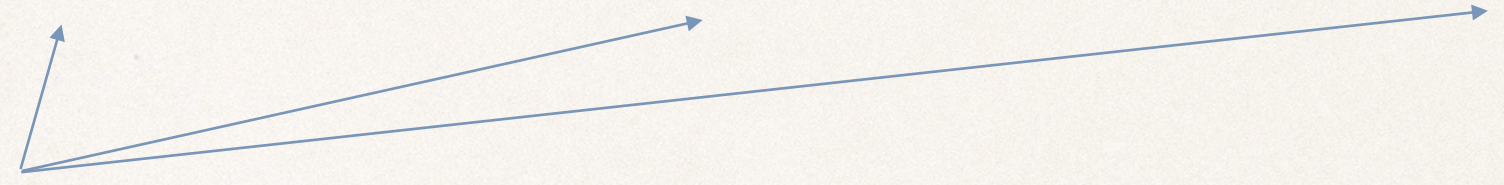
- (1) Observe that effective theories derived from string theory that are valid below a fixed finite energy scale have tame coupling functions, field spaces, and parameter spaces.

Rough tameness statements

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- (2) Tame effective theories / QFTs remain tame when including perturbative corrections up to a fixed loop level.

Effective theories

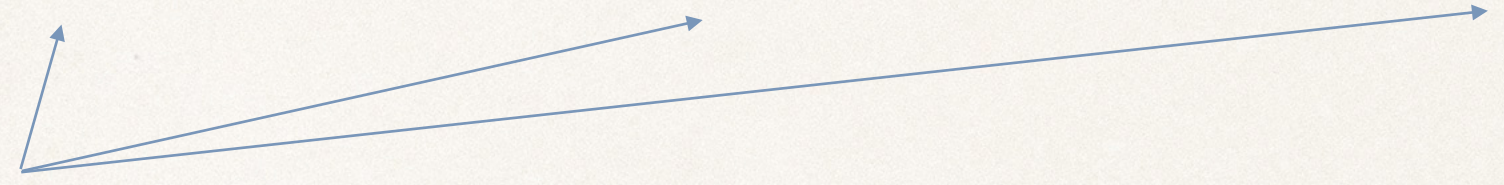
→ Effective theory:

$$\mathcal{L} = \frac{1}{2}R - g_{ij}(\phi, \lambda) D_\mu \phi^i D^\mu \phi^j - f_{\alpha\beta}(\phi, \lambda) \text{tr}(F_{\mu\nu}^\alpha (F^\beta)^{\mu\nu}) - V(\phi, \lambda) + \dots$$


Coupling functions depend on: parameters $\lambda \in \mathcal{P}$, scalar fields $\phi \in \mathcal{M}_\lambda$

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A diagram consisting of three blue arrows originating from a single point below the Lagrangian equation. One arrow points to the metric coupling $g_{ij}(\phi, \lambda)$, another points to the gauge coupling $f_{\alpha\beta}(\phi, \lambda)$, and the third points to the potential $V(\phi, \lambda)$.

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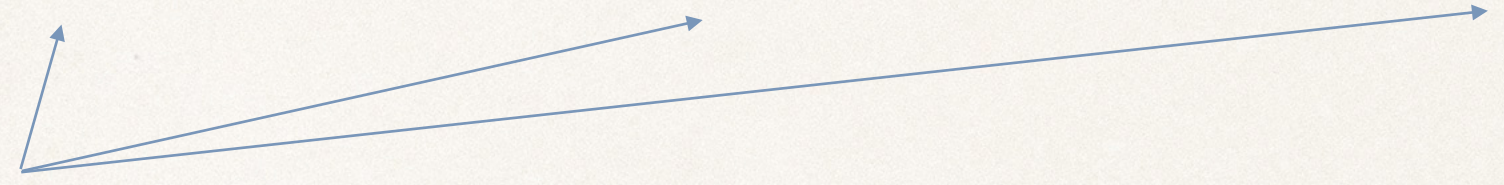
→ $\mathcal{P} \times \mathcal{M}_{\{\lambda \in \mathcal{P}\}}$ parameter space and field space changing over it

A small blue arrow pointing from the parameter space \mathcal{P} in the previous line to the text below.

number of fields, vevs of heavy fields, fluxes, topological data
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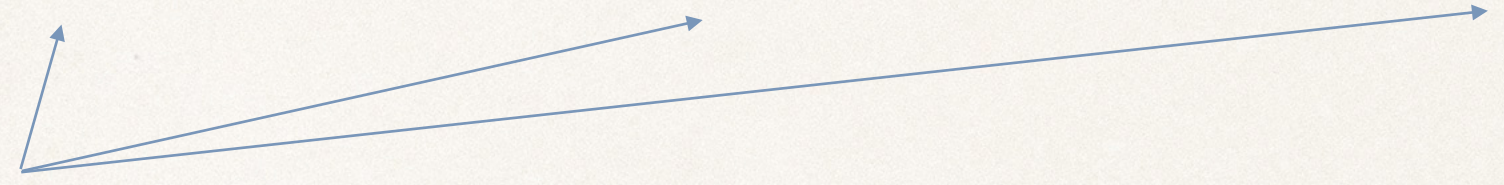


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special 'tame' set

special 'tame' function

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Tame Geometry

A brief introduction to o-minimal structures

A mathematical structure with finiteness

- Geometry: develop a mathematical framework for geometers:
 - Grothendieck's dream of a **tame topology** [Esquisse d'un programme]
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- Logic: theory of **o-minimal structures** comes from model theory
 - built theory with polynomial equalities and inequalities over \mathbb{R} (with ordering " $>$ ") that has only **decidable** statements [Tarski]
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- Resulting picture:
 - o-minimal structures define a **tame topology**
 - give a **generalization of real algebraic geometry**
 - strong **finiteness** properties intro book [van den Dries]

Recent lectures: Jacob Tsimerman (2021 Princeton lectures, 2022 Fields institute)

Tame topology: o-minimal structures

- **Basic idea:** specify collection \mathcal{S}_n of **tame sets** $A \subset \mathbb{R}^n$ and allowed **tame functions** $f : \mathbb{R}^n \rightarrow \mathbb{R}^m$
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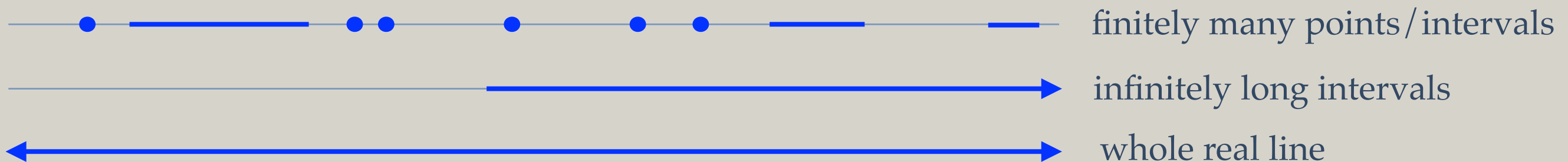
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- O-minimal structure (a ‘tame structure’):

Tameness assumption: tame sets S_1 of \mathbb{R} are **finite** unions of intervals and points

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Tameness assumption: tame sets in \mathbb{R}



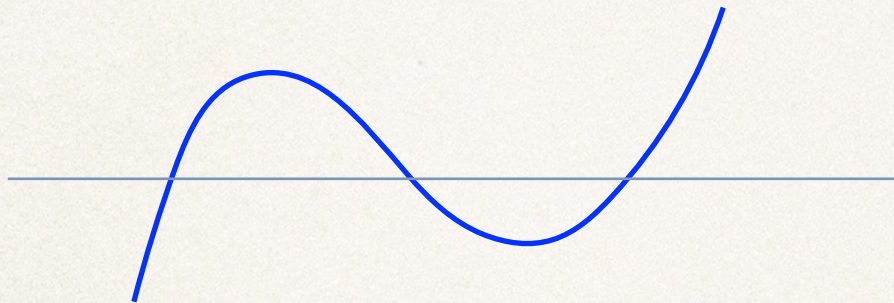
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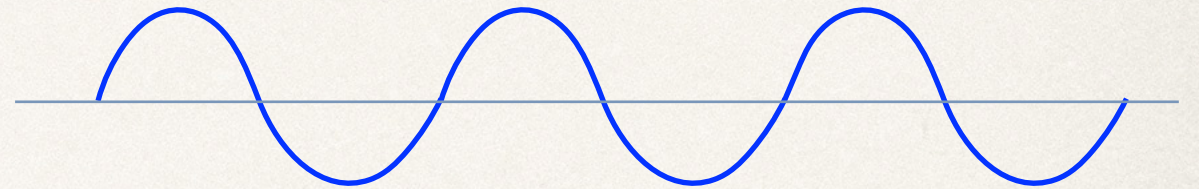
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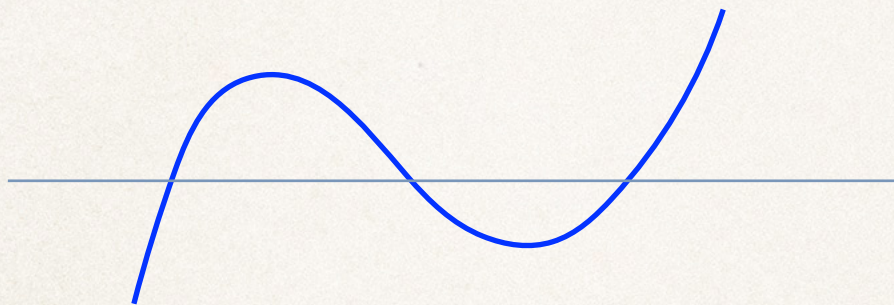
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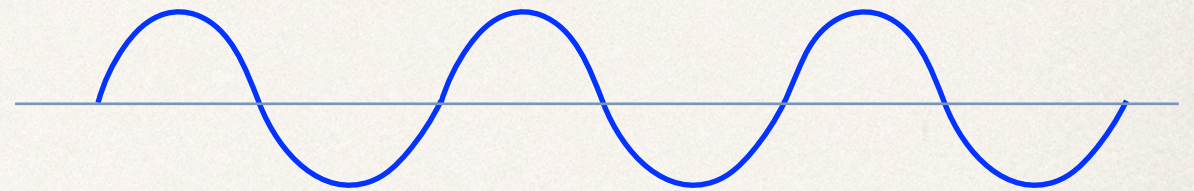
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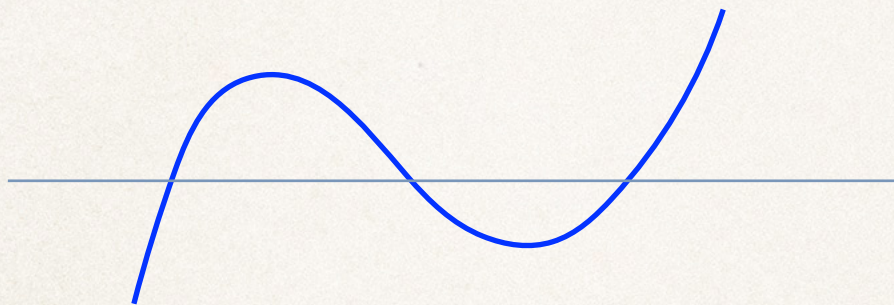


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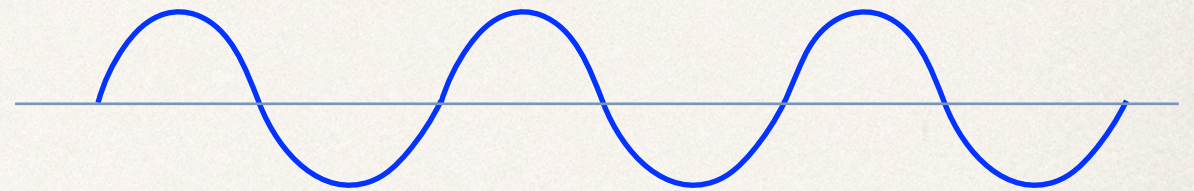
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(1) finitely many minima and maxima; (2) tame tail to infinity

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 - \mathbb{R}_{exp} plus **restricted real analytic functions**: $\mathbb{R}_{\text{an,exp}}$ [van den Dries, Miller '94]

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needed for tameness
of complex exponential:

$$e^z = e^r (\cos(\phi) + i \sin(\phi)) \quad 0 \leq \phi \leq c$$

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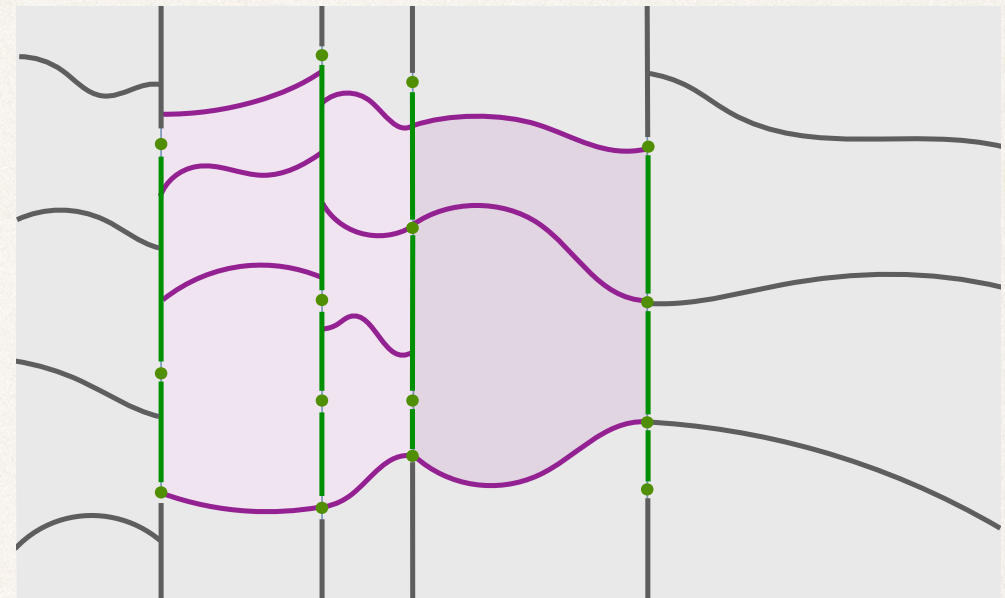
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not tame in $\mathbb{R}_{\text{an,exp}}$: $\Gamma(x)$ on $(0, \infty)$; $\zeta(x)$ on $(1, \infty)$; error function

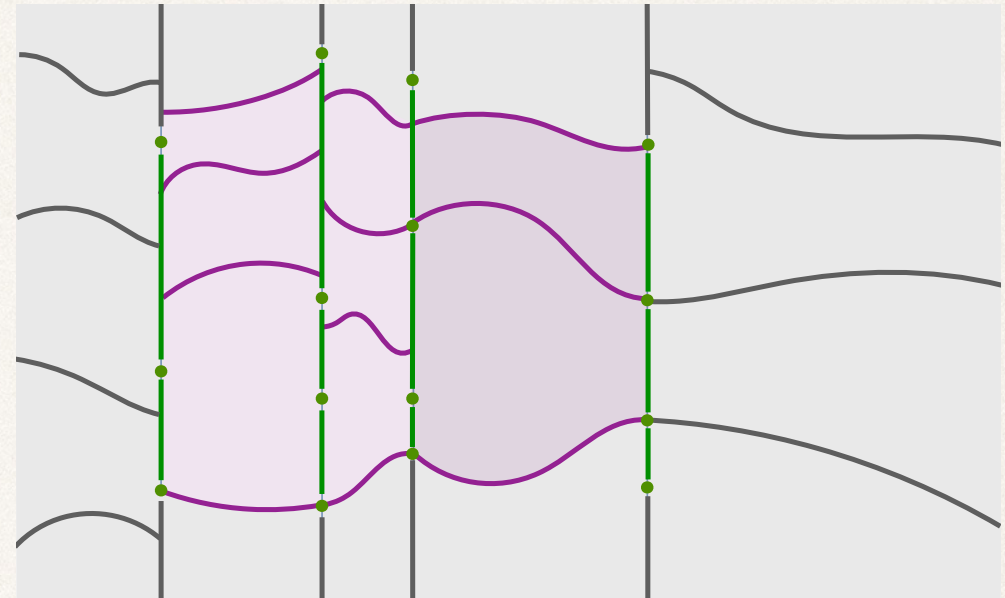
There is much more to say:

- Higher-dimensional tame functions and sets well understood
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- Tameness used in many recent proofs of deep mathematics conjectures:
 - Ax-Schanuel conjecture for Hodge structures [Bakker,Tsimmerman '17]
 - Griffiths' conjecture [Bakker,Brunebarbe,Tsimmerman '18]
 - André-Oort conjecture [Pila,Shankar,Tsimmerman '21]
- very active field connecting logic, number theory, and geometry

Tameness at work

- Tameness statement: field space \mathcal{M} tame manifold
potential $V(\phi_1, \phi_2)$ tame function
- Integrate out heavy ϕ_1 : $\mathcal{M}_{\text{vac}} = \left\{ \frac{\partial V}{\partial \phi_1} = 0 \right\} \cap \mathcal{M} \rightarrow$ intersection of tame spaces

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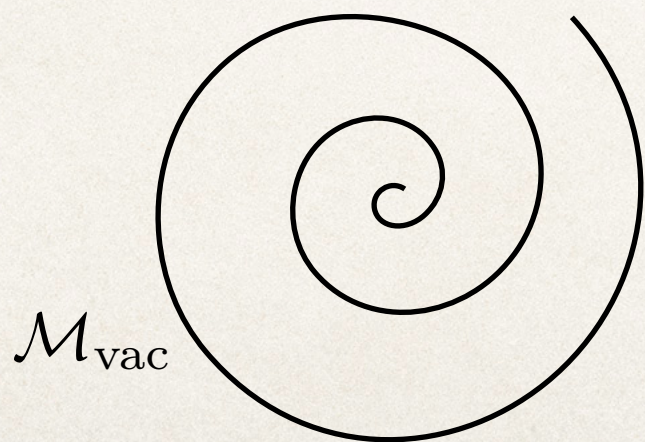
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 $V(\phi_1, \phi_2) \rightarrow V(\langle \phi_1 \rangle, \phi_2) \rightarrow$ projection of tame function
→ tameness classically preserved when lowering cut-off

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Tameness preserved at quantum level?

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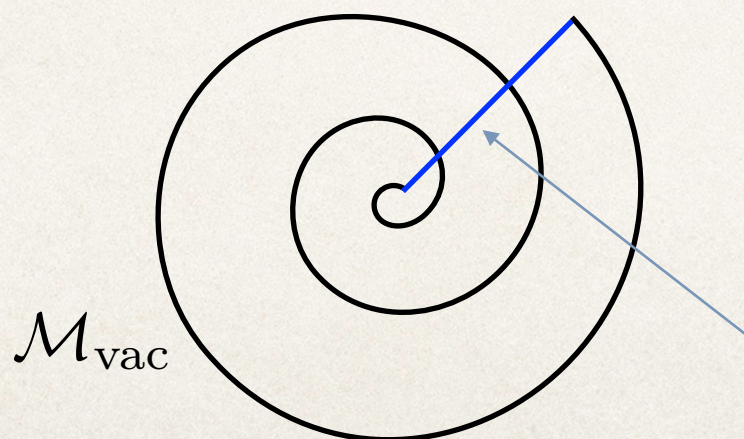
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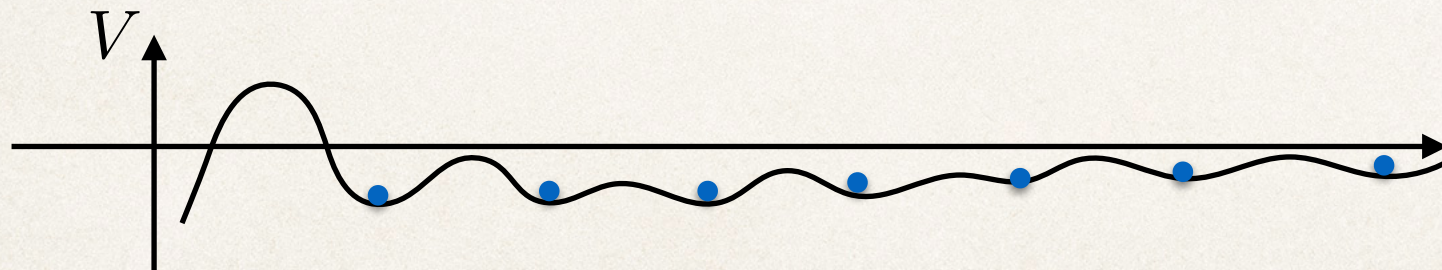
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→ cannot be tame, V not definable

linear project with infinitely many points

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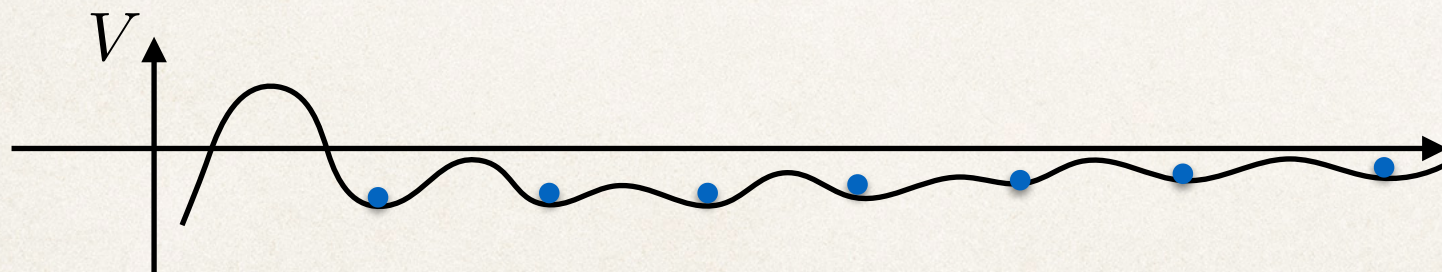


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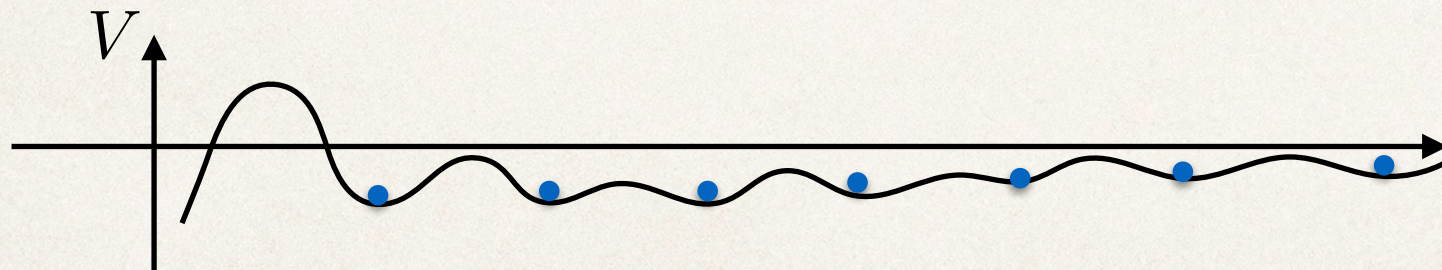
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many functions do not appear: $V(\phi) = \sin(\phi^{-1})$ $V(\phi) = \phi^8 \sin(\phi^{-1})$
→ no accumulation points of vacua discussed by [Acharya, Douglas]

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recent suggestion by [Tachikawa] of QFT with scalar potential and undecidable statements is not tame

Tameness Conjecture

A new swampland conjecture

[TG] '21

Tameness conjecture:

All effective theories valid below a fixed finite energy cut-off scale that can be consistently coupled to quantum gravity are labelled by a tame parameter space and must have scalar field spaces and coupling functions that are tame in an o-minimal structure.

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Refined version:

The relevant o-minimal structure is $\mathbb{R}_{\text{an},\text{exp}}$.

Evidence for Tameness:

Supersymmetry + Strings

Tameness and supersymmetry: $N > 2$

→ Supergravity theories with $N > 2$ supersymmetry in $D \geq 4$:

(1) scalar field spaces:

$$\mathcal{M} = \Gamma \backslash G / K \quad \Gamma \subset G_{\mathbb{Z}} \text{ is discrete symmetry group that is gauged}$$

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(3) parameter spaces: are they tame?

check: spectrum / group ranks (e.g. choices for Γ, G) are finite in string compactifications \rightarrow discrete infinite sets are never definable

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- Also recently shown:

(a) Hodge star on $H^D(Y_D, \mathbb{C})$, period map are tame in $\mathbb{R}_{\text{an}, \text{exp}}$

[Bakker, Klingler, Tsimerman] '18

(b) period integrals themselves are tame in $\mathbb{R}_{\text{an}, \text{exp}}$

[Bakker, Mullane] '22 + [Bakker, Tsimerman] to appear

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- Note: period integrals have ‘parameters’ (e.g. mirror intersection numbers)
→ non-trivial \mathcal{P} : would need finiteness of Calabi-Yau manifolds

Some relations to other conjectures

- Consider (non-trivial) function with discrete symmetry:

$$f(x) = f(x + n) , \quad n \in \mathbb{Z} \quad \implies \text{not tame for } x \in \mathbb{R}$$

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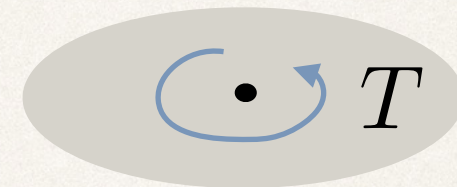
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$$T^n \neq T$$

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Tameness + Distance conjecture = ♥ [TG,Lanza,Li]

→ Talk by Stefano Lanza

Tameness in flux compactifications: $N=0,1$

- Type IIB / F-theory flux compactifications review: [Graña] [Kachru,Douglas] ...

background flux: $G_4 \in H^4(Y_4, \mathbb{Z}) \quad \int_{Y_4} G_4 \wedge G_4 = \ell$

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- fix G_4 : scalar potential $V(z, \bar{z})$ is tame in $\mathbb{R}_{\text{an}, \text{exp}}$
→ finitely many minimum loci [Bakker, TG, Schnell, Tsimerman] '21

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- tameness (and finiteness) of locus of self-dual fluxes now part
of general theorem

[Bakker,TG,Schnell,Tsimerman] '21

Evidence for Tameness:

Perturbative QFT

Tameness at quantum level

- General local QFT (renormalizable / EFT with cutoff)

ℓ -loop amplitude $\mathcal{A}_\ell(p, m)$

independent external momenta p_i

masses of particles in the loop m_α

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space of momenta parameters: masses, vertices

- show that maps \mathcal{A}_ℓ are tame in $\mathbb{R}_{\text{an}, \text{exp}}$

[Douglas, TG, Schlechter]
in preparation

→ Talk by Lorenz Schlechter

Tameness at quantum level

- amplitudes are composed of finitely many Feynman integrals

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$$I(p, m) = \int \left(\prod_{r=1}^L \frac{d^d k}{i\pi^{d/2}} \right) \left(\prod_{j=1}^n \frac{1}{D_j^{v_j}} \right) \longrightarrow I(z) = \int_\gamma \Omega$$

review book by [Weinzierl]

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- Use: period integrals are tame maps in $\mathbb{R}_{\text{an},\text{exp}}$
[Bakker,Mullane] '22 + [Bakker,Tsimmerman] to appear

Conclusions

- Tame geometry and o-minimal structures are omnipresent in effective field theories arising from string theory
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- Combine other conjectures with Tameness Conjecture:
 - tameness conjecture + distance conjecture [TG,Lanza,Li]
 - tameness conjecture + swampland conjectures ? [TG,Lanza,van Vliet] in progress

Thanks!